Matrices defining plane curves

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Abstract Notation



For a given plane curve we review the explicit constructions of:

- the 1 1 correspondence between linear determinantal representations and rank one (non-exceptional) bundles,
- the 1 1 correspondence between pfaffian representations and rank two (non-exceptional with fixed determinant) bundles.

We try to generalize these results to construct determinantal representations which would encode rank 3 bundles as its cokernel.

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Abstract Notation

Notation

- we work over the field C, sometimes we restrict to R,
- F(x, y, z) homogeneous polynomial of degree d,
- C a smooth curve defined by $\{F(x, y, z) = 0\} \subset \mathbb{P}^2$.

$$\begin{array}{lll} \text{Weierstrass cubic:} & y^2z=x^3+p\,xz^2+q\,z^3, & p,q\in\mathbb{C},\\ \text{or} & y^2z=x(x+\theta_1z)(x+\theta_2z), & \theta_1,\theta_2\in\mathbb{C}, \end{array}$$

 $\text{Hesse cubic:}\qquad \lambda(\textbf{\textit{x}}^3+\textbf{\textit{y}}^3+\textbf{\textit{z}}^3)=\mu\,\textbf{\textit{xyz}},\qquad \lambda,\mu\in\mathbb{P}^1.$

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 $\begin{array}{l} \mbox{Definition} \\ \mbox{Cayley-Hamilton theorem} \rightarrow \mbox{explicit representations} \\ \mbox{Generalizations to rank 3} \end{array}$

Definition: Determinantal representation

It is very useful to represent F of degree d as a determinant of some matrix:

Find a $rd \times rd$ matrix with **linear** terms

$$M(x, y, z) = xA + yB + zC$$

such that

$$\det M(x,y,z)=c\,F^r(x,y,z), \ \text{ for some } c\neq 0.$$

Matrix *M* is a determinantal representation (of order *r*) of *C*. Clearly, multiplying a determinantal representation by invertible matrices preserves the underlying curve. Two determinantal representations *M* and *M'* are equivalent if

M' = XMY for some $X, Y \in GL(rd, \mathbb{C})$.

We consider determinantal representations up to equivalence.

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Pfaffian representation

Pfaffian representation is a representation of order 2 with all $2d \times 2d$ matrices being skew-symmetric. Study of pfaffian representations is strongly related to and motivated by determinantal representations. Every $d \times d$ determinantal representation *A* induces *decomposable pfaffian representation*

$$\begin{bmatrix} 0 & M \\ -M^t & 0 \end{bmatrix}$$

Note that the equivalence relation is well defined since

$$\begin{bmatrix} 0 & XMY \\ -(XMY)^t & 0 \end{bmatrix} = \begin{bmatrix} X & 0 \\ 0 & Y^t \end{bmatrix} \begin{bmatrix} 0 & M \\ -M^t & 0 \end{bmatrix} \begin{bmatrix} X^t & 0 \\ 0 & Y \end{bmatrix}$$

Definition Cayley–Hamilton theorem \rightarrow explicit representations Generalizations to rank 3

Theorem (Beauville, 2000: plane curves as determinants)

Let L be a line bundle of degree $g - 1 = \frac{1}{2}d(d - 3)$ on C with $H^0(C, L) = 0$. Then there exists a $d \times d$ linear matrix M such that $F = \det M$ and an exact sequence

$$0 o \mathcal{O}_{\mathbb{P}^2}(-2)^d \stackrel{M}{\longrightarrow} \mathcal{O}_{\mathbb{P}^2}(-1)^d o L o 0.$$

Conversely, let M be a $d \times d$ linear matrix such that $F = \det M$. Then the cokernel of $M : \mathcal{O}_{\mathbb{P}^2}(-2)^d \to \mathcal{O}_{\mathbb{P}^2}(-1)^d$ is a line bundle L on \mathcal{C} of degree g - 1 with $H^0(\mathcal{C}, L) = 0$.

This is the famous 1 – 1 correspondence between detreminantal representations of hypersurfaces and points on the Jacobian variety, first described in Cook and Thomas, Line bundles and homogeneous matrices, (1979).

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Theorem (Beauville, 2000: plane curves as pfaffians)

Let *E* be a rank 2 vector bundle on *C* with det $E \cong \omega_C$ and $H^0(C, E) = 0$. Then *E* admits a minimal resolution

$$0 \to \mathcal{O}_{\mathbb{P}^2}(-2)^{2d} \stackrel{A}{\longrightarrow} \mathcal{O}_{\mathbb{P}^2}(-1)^{2d} \to E \to 0,$$

where the matrix A is linear skew-symmetric and F = Pf A.

Note that the condition $H^0(\mathcal{C}, E) = 0$ implies that *E* is semi-stable.

Definition Cayley–Hamilton theorem \rightarrow explicit representations Generalizations to rank 3

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$M \cdot M = \det M \cdot \operatorname{Id}_d$

Theorem (Dolgachev's explicit 1 – 1 correspondence)

Let $L \in \operatorname{Pic}(\mathcal{C})^{g-1} \setminus W_{g-1}$, where $\operatorname{Pic}(\mathcal{C})^{g-1}$ is the Picard variety of degree g-1 invertible sheaves (divisor classes) on \mathcal{C} and W_{g-1} its subset of effective divisors. Then L and $L^{-1} \otimes \mathcal{O}_C(d-3)$ define a unique regular map

$$\mathcal{C}
ightarrow \left| H^0 \left(\mathcal{C}, L(1)
ight)^{ee} \otimes H^0 \left(\mathcal{C}, L(1)^{-1} \otimes \mathcal{O}_C(d-1)
ight)
ight|$$

which extends to a rational map on \mathbb{P}^2 . In coordinates, this is the adjugate matrix of a determinantal representation of C. Conversely, the kernel and cokernel (twisted by -1) of a given determinantal representation define L and L⁻¹ as above.

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We generalize Dolgachev's construction to rank 2: Define the pfaffian adjoint of *A* to be the skew-symmetric matrix

$$\tilde{A} = \begin{bmatrix} 0 & & \\ & \ddots & (-1)^{i+j} \operatorname{Pf}^{ij} A \\ & \ddots & \\ & & 0 \end{bmatrix}$$

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$\tilde{A} \cdot A = \mathsf{Pf} A \cdot \mathsf{Id}_{2d}$

Proposition ($_{-}$ and Košir's explicit 1 - 1 correspondence)

Let C be a smooth plane curve of degree d. To every rank 2 vector bundle \mathcal{E} on C with properties

(i)
$$h^{0}(C, \mathcal{E}) = 2d$$
,
(ii) $H^{0}(C, \mathcal{E}(-1)) = 0$,

(iii) det
$$\mathcal{E} = \bigwedge^2 \mathcal{E} = \mathcal{O}_C(d-1)$$

we can assign a pfaffian representation $A_{\mathcal{E}}$. In particular, isomorphic bundles induce equivalent representations. Conversly, the cokernel of a pfaffian representation of C is a rank 2 vector bundle on C with the above properties.

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Buckley and Košir's explicit 1 – 1 correspondence

Note that $\mathcal{E}(-1)$ has determinant $\mathcal{O}_{\mathcal{C}}(d-3) = \omega_{\mathcal{C}}$ and is exactly the rank 2 bundle from Beauville's pfaffian representation.

We will define a map ψ from *C* to the space of $2d \times 2d$ skew-symmetric matrices with entries from the space of homogeneous polynomials of degree d - 1. Let $U = H^0(C, \mathcal{E})$ be the 2*d* dimensional vector space of global sections of \mathcal{E} . Choose a basis $\{s_1, \ldots, s_{2d}\}$ for *U* and define

$$C
i x \stackrel{\psi}{\mapsto} \sum_{1 \leq i < j \leq 2d} (s_i(x) \wedge s_j(x))(E_{ij} - E_{ji}) =$$

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$$C
i x \stackrel{\psi}{\mapsto} \sum_{1 \leq i < j \leq 2d} (s_i(x) \wedge s_j(x))(E_{ij} - E_{ji}) = egin{bmatrix} 0 & & & \ & \ddots & s_i(x) \wedge s_j(x) \ & & \ddots & \ & & 0 \ \end{pmatrix}$$

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 Generalizations to rank r = 3 Cayley-Hamilton theorem \rightarrow explicit representations

 Generalizations to rank r = 3 Weierstrass form

Since $s_i \wedge s_j \in \bigwedge^2 U$, by property (iii) the map ψ extends to

$$\Psi\colon \mathbb{P}(\mathcal{E})\longrightarrow \mathbb{P}(\bigwedge^2 U)$$

given by a linear system of plane curves of degree d - 1. In coordinates it equals to a $2d \times 2d$ skew-symmetric matrix with entries from the space of homogeneous polynomials of degree d - 1. This is exactly the adjoint matrix of the pfaffian representation.

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Definition Cayley–Hamilton theorem \rightarrow explicit representations Generalizations to rank 3

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How can we generalize the identities of Cayley–Hamilton theorem: $\tilde{M} \cdot M = \det M \operatorname{Id}_d$ and $\tilde{A} \cdot A = \operatorname{Pf} A \operatorname{Id}_{2d}$?

$\tilde{A} \cdot A = \operatorname{Tri} A \operatorname{Id}_{3d}$

Let C be a smooth plane curve of degree d. To every rank 3 vector bundle \mathcal{E} on C with the properties

(i)
$$h^0(C, \mathcal{E}(1)) = 3d$$
,
(ii) $H^0(C, \mathcal{E}) = 0$,
(iii) det $\mathcal{E} = \bigwedge^3 \mathcal{E} = \stackrel{??}{?} \mathcal{O}_C(d-3)$
 $= \stackrel{??}{?}$ line bundle of degree $\frac{3}{2}d(d-3)$,

we can assign a representation $A_{\mathcal{E}}$.

Torsion points Representations of Weierstrass cubics Symmetric determinantal representations

Background

Elliptic curves have profound influence in mathematics. Since ancient times they turn up in the most astonishing places, joining together algebra and geometry. Recently they have become popular in **number theory** (cryptography of elliptic curves), **optimization** (semidefinite programming SDP) and also in **theoretical physics** (mirror symmetry of elliptic curves). The abundance of results is due to the following two classical facts for smooth plane cubics:

- It can be brought by a change of coordinates into the Weierstrass canonical form, or equivalently the Hesse canonical form.
- It can be equipped by a group law (induced by the Jacobian group variety).

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Atiyah: Vector bundles over an elliptic curve, 1957

Elliptic curves are of tame representation type according to Atiyah (1957). In particular, on a given cubic curve the number of indecomposable ACM bundles of rank r equals to the number of r-torsion points.

Theorem

For any r > 0 there exists an indecomposable vector bundle F_r defined inductively by $0 \to \mathcal{O}_C \to F_r \to F_{r-1} \to 0$, where $F_0 = \mathcal{O}_C$, $h^0(F_r) = 1$ and $F_r \cong F_r^v$. Moreover, for any indecomposable bundle E of rank r and degree 0 there exists a line bundle L such that $E \cong F_r \otimes L$ and det $E = L^{\otimes r}$.

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Rank 2

Consider a rank 2 bundle *E* with det $E = O_C$ and $h^0(E) = 0$.

A decomposable *E* is of the form $L \oplus L^{-1}$ for $\mathcal{O}_C \neq L \in \text{Pic}^0(C)$. This gives a block pfaffian representation.

An indecomposable bundle is isomorphic to the nontrivial extension of an (one of the three) even theta characteristics

$$0 \rightarrow \kappa_i \rightarrow E \rightarrow \kappa_i \rightarrow 0.$$

In other words, $\kappa_1, \kappa_2, \kappa_3$ are the 2-torsion elements in Pic⁰(*C*).

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Ravindra and Tripathi, 2014 predicted indecomposable $3r \times 3r$ representations induced by repeated extensions of *r*-torsion line bundles.

Consider a minimal matrix A such that

$$\mathsf{Coker}\left[\mathcal{O}_{\mathbb{P}^2}(-2)^{3r} \stackrel{\mathsf{A}}{\longrightarrow} \mathcal{O}_{\mathbb{P}^2}(-1)^{3r}
ight]$$

is an indecomposable rank *r* bundle *E* with trivial determinant. Then det $A = F^r$.

Furthermore, such *E* and *A* exist: for any rank *r* bundle *E* obtained by the Atiyah construction holds that *E* is 1–regular, $h^0(E(-\mu)) = 0$ for $\mu \ge 0$ and det $E = \mathcal{O}_C$. This implies that *E* has 3*r* minimal generators in degree 1 and a minimal resolution

$$0 \to \mathcal{O}_{\mathbb{P}^2}(-2)^{3r} \to \mathcal{O}_{\mathbb{P}^2}(-1)^{3r} \to E \to 0.$$

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Determinantal representations of Weierstrass cubics

We explicitly construct all determinantal representations of size 3×3 , 6×6 and 9×9 .

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Vinnikov explicitly parametrised determinantal representations by points on the affine curve:

Lemma

Consider the cubic in Weierstrass form:

$$F(x, y, z) = -yz^2 + x^3 + \alpha xy^2 + \beta y^3.$$

A complete set of determinantal representations of F is

$$x \operatorname{Id} + z \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix} + y \begin{pmatrix} \frac{t}{2} & s & \alpha + \frac{3}{4}t^{2} \\ 0 & -t & -s \\ -1 & 0 & \frac{t}{2} \end{pmatrix},$$

where $s^2 = t^3 + \alpha t + \beta$. Note that the last equation is exactly the affine part F(t, 1, s).

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Main theorem

Let C be a smooth cubic in the Weierstrass form

$$F(x, y, z) = yz^2 - x(x - y)(x - \lambda y).$$

A complete set of pfaffian representations of *F* consists of three indecomposable representations and for the whole affine curve of decomposable representations:

for $t = 0, 1, \lambda$;

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and

where $s^2 = t(t-1)(t-\lambda)$. Note that the last equation is exactly the affine part F(t, 1, s).

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Theta characteristic

It is obvious that a 3 × 3 determinantal representation is **symmetric** if and only if $L \cong L^{-1}$. Such *L* is by definition a non-effective theta characteristic i.e., $L^{\otimes 2} \cong \omega_C$ and $H^0(C, L) = \{0\}$. Since every nonsingular cubic has exactly three even theta characteristics we get:

Corollary

A smooth cubic curve has three symmetric determinantal representations.

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The following theorem constructs all three symmetric 3×3 representations:

Theorem (J. Harris, 1979, p. 696)

There exist precisely three points $(a, b) \in \mathbb{C}^2$ such that

 $aF = \operatorname{Hes}(bF + \operatorname{Hes}(F)),$

where Hes is the Hessian i.e., the determinant of the second partial derivatives matrix. The resulting three symmetric determinantal representations of F are inequivalent.

Using elementary transformations [Vinnikov] we can obtain all 3×3 determinantal representations of *F* from a given one.

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Matrices of format $3d \times 3d \times 3d$ and rank 3 Generalise the definitions of det and Pf Ayyer's combinatorial proof: det = Pf² Group actions on tensor products of vector spaces

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How can we generalize the identities of Cayley–Hamilton theorem: $\tilde{M} \cdot M = \det M \operatorname{Id}_d$ and $\tilde{A} \cdot A = \operatorname{Pf} A \operatorname{Id}_{2d}$?

$\tilde{A} \cdot A = \operatorname{Tri} A \operatorname{Id}_{3d}$

Let C be a smooth plane curve of degree d. To every rank 3 vector bundle \mathcal{E} on C with the properties

(i)
$$h^0(C, \mathcal{E}) = 3d$$
,

(ii)
$$H^0(C, \mathcal{E}(-\mu)) = 0$$
 for $\mu \ge 1$,

(iii) det
$$\mathcal{E} = \bigwedge^3 \mathcal{E} = \mathcal{O}_C(d-1)$$
!!,

we can assign a representation $A_{\mathcal{E}}$.

Remark: for $\mathcal{E} = L_1 \oplus L_2 \oplus L_3$ the summands L_i do not induce a determinantal representations (unlike in the rank 2 case) since they are of wrong degree.

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We can define a map ψ from *C* to the space of $3d \times 3d \times 3d$ matrices.

Choose a basis $\{s_1, \ldots, s_{3d}\}$ for $H^0(\mathcal{C}, \mathcal{E})$ and define

$$x \stackrel{\psi}{\mapsto} \sum_{1 \leq i < j < k \leq 3d} (s_i(x) \land s_j(x) \land s_k(x)) (E_{ijk} - E_{jik} + E_{jki} + E_{kij} - E_{ikj})$$

which extends to

$$\Psi\colon \mathbb{P}(\mathcal{E})\longrightarrow \mathbb{P}(\bigwedge^3 U).$$

In coordinates it equals to a $3d \times 3d \times 3d$ matrix with entries from the space of homogeneous polynomials of degree d - 1.

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• Rank of the constructed $3d \times 3d \times 3d$ matrix along C is 3.

For an analogue of the Cayley-Hamilton theorem for higher format matrices we need to define:

- determinant,
- multiplication,
- identity,
- trian.

Matrices of format $3d \times 3d \times 3d$ and rank 3 Generalise the definitions of det and Pf Ayyer's combinatorial proof: det = Pf^2 Group actions on tensor products of vector spaces

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Determinant

Let *A* be a $d \times d$ matrix. By definition,

$$\det(A) = \sum_{\sigma \in S_d} \operatorname{sgn}(\sigma) \prod_{i=1}^d a_{i,\sigma(i)}.$$

A permutation

$$\sigma = \begin{bmatrix} 1 & 2 & 3 & \cdots & d \\ j_1 & j_2 & j_3 & \cdots & j_d \end{bmatrix}$$

can be written as $\{(1, j_1), (2, j_2), \dots, (d, j_d)\}.$

Then det(A) =
$$\sum_{\sigma \in S_d} \operatorname{sgn}(\sigma) \prod a_{1,j_1} a_{2,j_2} \cdots a_{d,j_d}$$
.

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Pfaffian

Let *A* be a $2d \times 2d$ skew-symmetric matrix.

$$Pf(A) = \sum_{\sigma \in \Pi} sgn(\sigma) \prod_{i=1}^{d} a_{\sigma(2i-1),\sigma(2i)}, \text{ where we sum over}$$

$$\Pi = \{\sigma \in S_d : \sigma(2i-1) < \sigma(2i) \text{ and } \sigma(2i-1) < \sigma(2i+1).$$
A partition of $\{1, 2, \dots, 2d\}$ into pairs can be written as
$$\{(i_1, j_1), (i_2, j_2), \dots, (i_d, j_d)\} \text{ with } i_k < j_k \text{ and } i_k < i_{k+1}.$$
Let $\sigma = \begin{bmatrix} 1 & 2 & 3 & 4 & \cdots & 2d \\ i_1 & j_1 & i_2 & j_2 & \cdots & j_d \end{bmatrix}$ be the corresponding permutation.
$$Then \ Pf(A) = \sum_{\sigma \in \Pi} sgn(\sigma) \prod_{\sigma \in \Pi} a_{i_1,j_1} a_{i_2,j_2} \cdots a_{i_d,j_d}.$$

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A partition of $\{1, 2, ..., 3d\}$ into triplets can be written as $\{(i_1, j_1, k_1), (i_2, j_2, k_2), ..., (i_d, j_d, k_d)\}$ with $i_m < j_m < k_m$ and $i_m < i_{m+1}$.

Let
$$\sigma = \begin{bmatrix} 1 & 2 & 3 & 4 & \cdots & 3d \\ i_1 & j_1 & k_1 & i_2 & \cdots & k_d \end{bmatrix}$$
 be the corresponding permutation.

We could define

$$\operatorname{Tri}(A) = \sum_{\sigma \in \Pi} \operatorname{sgn}(\sigma) \prod a_{i_1, j_1, k_1} a_{i_2, j_2, k_2} \cdots a_{i_d, j_d, k_d}.$$

In this case A is a matrix of format $3d \times 3d \times 3d$ representing a three dimensional tensor.

Matrices of format $3d \times 3d \times 3d$ and rank 3 Generalise the definitions of det and Pf Ayyer's combinatorial proof: $det = Pf^2$ Group actions on tensor products of vector spaces

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A. Ayyer: Determinants and Perfect Matchings,J. Combin. Theory (2013)

Ayyer gives a combinatorial interpretation of the determinant of a matrix as a generating function over Brauer diagrams. As a corollary he obtains Cayley's relation between determinants and Pfaffians. IntroductionMatrices of format $3d \times 3d \times 3d$ and rank 3Determinantal representations vs vector bundles
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Recall irreducible representations of S_n :

- the trivial 1-dim representation;
- the 1-dim sign representation $\epsilon : S_3 \rightarrow \pm 1$,
- *S*₃ also has the geometric (or standard) 2-dim representation.

The blocks of the trivial and the sign representation in $V^{\otimes n}$ are Sym^{*n*} *V* and $\wedge^n V$ respectively. In particular,

$$S_2$$
 induces $V \otimes V = \operatorname{Sym}^2 V \oplus \wedge^2 V$

and

 S_3 induces $V \otimes V \otimes V = \text{Sym}^3 V \oplus \wedge^3 V \oplus \text{two copies of } V$.

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IntroductionMatrices of format $3d \times 3d \times 3d$ and rank 3Determinantal representations vs vector bundles
Motivation: elliptic curves
Generalisations to rank r = 3
Weierstrass formMatrices of format $3d \times 3d \times 3d$ and rank 3
Generalise the definitions of det and Pf
Ayyer's combinatorial proof: $det = Pf^2$
Group actions on tensor products of vector spaces

Corollary

A matrix $[v_{ijk}]$ of $t \times t \times t$ format can be written in a unique way as a sum of 6 matrices:

Here ω is the third root of unity.

Aim: Extend Ayer's construction to matrices with $c_{ijk} = d_{ijk} = e_{ijk} = f_{ijk} = 0$ to obtain det $[b_{ijk}] = \text{trian}^3$.

Matrices of format $3d' \times 3d' \times 3d'$ and rank 3 Generalise the definitions of det and Pf Ayyer's combinatorial proof: det = Pf² Group actions on tensor products of vector spaces

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Cayley–Hamilton theorem generalizes to matrices of even format. They appear in Finsler geometry (relativity and gauge theory) and in fourth-rank gravity.

Tapia, 2008: Invariants and polynomial identities for higher rank matrices

Define $A^{-1} := \frac{1}{\det A} \frac{\partial \det A}{\partial A}$, which is in terms of components $A^{ijkl} = \frac{1}{\det A} \frac{\partial \det A}{\partial A_{ijkl}}$.

Then,
$$A^{i k_1 k_2 k_3} A_{j k_1 k_2 k_3} = \delta^i_j$$
.

Note that a $3d \times 3d \times 3d$ matrix needs to be put into $3d \times 3d \times 3d \times 3d \times 3d \times 3d$ format to obtain a nonzero determinant.

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Weierstrass canonical form

Theorem

By a projective change of coordinates, every irreducible curve can be brought into the Weierstrass form

$$y^2z = x^3 + pxz^2 + qz^3, \quad p,q \in \mathbb{C}$$

or equivalently
$$y^2 z = x(x + \theta_1 z)(x + \theta_2 z), \quad \theta_1, \theta_2 \in \mathbb{C}.$$

Moreover, every reduced curve is projectively equivalent to one of the

$$x^3, x^2y, xy(x+y), xyz$$
 or
 $(\alpha x + \beta y + \gamma z)(x^2 - yz)$ for some $\alpha, \beta, \gamma \in \mathbb{C}$.

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Why do we want the Weierstrass canonical form?

Corollary

Any coordinate independent statement that holds for a Weierstrass cubic, holds for any irreducible cubic curve.

This implies:

• Determinantal representations of any cubic curve *C* are in one to one correspondence with affine points on *C*.

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Inflection point

Every irreducible cubic has inflection points: $\{F = 0\} \cap \{\text{Hes } F = 0\} \subset \mathbb{P}^2.$

Proposition

If we find an inflection point on C, we can put it into the Weierstrass form.

Change the coordinates so that the inflection point is (0, 1, 0) and the inflection tangent is z = 0. Considering all possible monomials occurring in F yields the Weierstrass form.

Corollary

When the defining polynomial F is real, a real change of coordinates gives the Weierstrass form with $p, q \in \mathbb{R}$.

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- The enumerative problem of locating flexes of a plane cubic is solvable, since the corresponding Galois group is solvable [Harris, 1979].
- When C contains a rational point [Silverman and Tate, 1992] provided an algorithm that puts it into a Weierstrass form.

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